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M.E.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Dmitrii Yu Stepanov, *et al.*

Serial No.: 10/647,897

Filed: August 25, 2003

For: *Multi Channel Grating Design* Attorney Docket No: 9576-0006-999

REVOCATION AND POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR(S) UNDER 37 C.F.R. 3.71  
WITH STATEMENT UNDER 37 C.F.R. 3.73(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned assignee and owner of the entire right, title and interest in, to and under the invention described and claimed in the above-identified patent application hereby *revokes* all previous powers of attorney and appoints Berj A. Terzian (Reg. No. 20060), David Weild, III (Reg. No. 21094), Barry D. Rein (Reg. No. 22411), Philip T. Shannon (Reg. No. 24278), Francis E. Morris (Reg. No. 24615), Gidon D. Stern (Reg. No. 27469), John J. Lauter, Jr. (Reg. No. 27814), Brian M. Poissant (Reg. No. 28462), Rory J. Radding (Reg. No. 28749), Donald J. Goodell (Reg. No. 19766), Thomas E. Friebe (Reg. No. 29258), Laura A. Coruzzi (Reg. No. 30742), Geraldine F. Baldwin (Reg. No. 31232), Victor N. Balancia (Reg. No. 31231), Samuel B. Abrams (Reg. No. 30605), Adriane M. Antler (Reg. No. 32605), Thomas G. Rowan (Reg. No. 34419), James G. Markey (Reg. No. 31636), Thomas D. Kohler (Reg. No. 32797), Scott D. Stimpson (Reg. No. 33607), Gary S. Williams (Reg. No. 31066), Ann L. Gisolfi (Reg. No. 31956), Kelly D. Talcott (Reg. No. 39582), Francis D. Cerrito (Reg. No. 38100), Anthony M. Insogna (Reg. No. 35203), Brian M. Rothery (Reg. No. 35340), Brian D. Siff (Reg. No. 35679), Michael J. Lyons (Reg. No. 37386), Nikolaos C. George (Reg. No. 39201), Stephen S. Rabinowitz (Reg. No. 40286), Ognjan V. Shentov (Reg. No. 38051), Kenneth L. Stein (Reg. No. 38704), Andrew J. Gray (Reg. No. 41796), Henry C. Lebowitz (Reg. No. 36196), Leo Merken (Reg. No. 41192), Margaret B. Brivanlou (Reg. No. 40922), David R. Owens (Reg. No. 40756), Matthew E. Langer (Reg. No. 36343), Karen G.

Horowitz (Reg. No. 35199), T. Christopher Tsang (Reg. No. 40258), and Carl P. Bretscher (Reg. No. 41635), all of Pennie & Edmonds LLP, whose addresses are 1155 Avenue of the Americas, New York, New York 10036, 1667 K Street N.W., Washington, DC 20006 and 3300 Hillview Avenue, Palo Alto, CA 94304, and each of them, its attorneys, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all correspondence for this application to customer no. 24341.

I am the:

- ☐ Applicant/Inventor  
☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
(Statement under 37 CFR 3.73(b) is applicable)

**Statement Under 37 C.F.R. 3.73(b)**

REDFERN OPTICAL COMPONENTS PTY LTD states that it is:

- ☒ the assignee of the entire right, title, and interest; or  
☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is %

in the patent application/patent identified above by virtue of:

An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office on \_\_\_\_\_ at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Assignee:	REDFERN OPTICAL COMPONENTS PTY LTD	M.E.
Date:	14/ November / 2003	Signature: <u>Mark Englund</u>
		Typed Name: <u>MARK ENGLUND</u>
		Position/Title: <u>CEO</u>
Address:	Suite 212, National Innovation Centre Australian Technology Park Eveleigh, New South Wales, 1430 Australia	

X

COPY

JOINT

## ASSIGNMENT

WHEREAS, WE, DMITRII YU STEPANOV of 7/126 Croydon Avenue, Croydon Park, New South Wales, 2133, Australia; KAZIMIR KOLOSSOVSKI of 72 Lachlan Street, Macquarie, Australian Capital Territory, 2614, Australia; and ALEXANDER BURYAK of 53 Coronga Crescent, Killara, New South Wales, 2071 Australia, ASSIGNORS, are the inventors of the invention in **MULTI CHANNEL GRATING DESIGN** for which we have executed an application for a Patent of the United States

COPY [x] which is identified by Pennie & Edmonds LLP docket no. 9576-0006-999

[x] which was filed on August 25, 2003, Application No. 10/647,897

and WHEREAS, **REDFERN OPTICAL COMPONENTS PTY LTD**, a corporation having a place of business at Suite 212, National Innovation Centre, Australian Technology Park, Eveleigh, New South Wales, 1430, Australia, ASSIGNEE, is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us

respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 14/11/11, 2003

  
DMITRII YU STEPANOV

L.S.

Date 14/11/03, 2003

Witness:

  
P. LIGHTBODY

L.S.

  
Date 13/11/, 2003

  
KAZIMIR KOLOSSOVSKI

  
L.S.

Date 13 Nov 2003, 2003

Witness:

  
P. LIGHTBODY

L.S.

Date 13/11, 2003

  
ALEXANDER BURYAK

L.S.

Date 13/11, 2003

Witness:

  
P. LIGHTBODY

L.S.

